

Starch-guest inclusion complexes: Formation, structure, and enzymatic digestion

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Deposited 05/08/2020

Citation of published version:

Tan, L., Kong, L. (2019): Starch-guest inclusion complexes: Formation, structure, and enzymatic digestion. *Critical Reviews in Food Science and Nutrition*, 60(5).

DOI: <https://doi.org/10.1080/10408398.2018.1550739>

“This is an original manuscript / preprint of an article published by Taylor & Francis in *Critical Reviews in Food Science and Nutrition* on 01/07/2019, available online: <https://www.tandfonline.com/doi/full/10.1080/10408398.2018.1550739>.”

Full text at <https://doi.org/10.1080/10408398.2018.1550739>

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Starch-Guest Inclusion Complexes: Formation, Structure, and Enzymatic

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Digestion

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Abstract

20 Starch/amylose-guest inclusion complexes, a class of supramolecular host-guest assemblies,
21 are of critical importance in the processing, preservation, digestion, nutrients/energy uptake, and
22 health outcomes of starch-containing foods. Particularly, the formation of inclusion complex has
23 been suggested to lower the rate and extent of enzymatic digestion of starch and starch-containing
24 foods. Compared with rapidly digestible starch, starch inclusion complex may fall into the category
25 of slowly digestible starch, providing sustained glucose release and maintaining glucose
26 homeostasis. Therefore, the ability of starch-guest inclusion complex to alter the digestive
27 behavior of energy-dense starchy foods has been of interest to many researchers and has the
28 potential to be developed and formulated into functional foods. In this article, we provide a
29 comprehensive and critical review on the current knowledge of the *in vitro* and *in vivo* enzymatic
30 digestion of starch-guest inclusion complexes, by emphasizing the structure-digestibility
31 relationship. We examine the preparation methods employed, crystalline structures obtained, and
32 physicochemical properties characterized in previous reports, which all have implications on the
33 digestive behavior reported on the starch-guest inclusion complexes. In addition, we give
34 suggestions on future research to elucidate the digestive properties of starch-guest inclusion
35 complexes and to develop functional structures based on these complexes for use in foods and
36 nutrition.

37 Keywords: starch inclusion complex; amylose inclusion complex; V-type amylose; enzymatic
38 digestion; slowly digestible starch

39 **1. Introduction**

40 The linear component of starch, including largely amylose and some linear segments of
41 amylopectin side chains, can form non-covalent inclusion complexes with a wide variety of small
42 molecules in foods (Figure 1), e.g., iodine (Bluhm and Zugenmaier 1981; Mottiar and Altosaar
43 2011), alcohols (Nishiyama et al. 2010), fatty acids and their esters (Godet et al. 1993; Lay Ma,
44 Floros, and Ziegler 2011), emulsifiers (Kong, Bhosale, and Ziegler 2018), and aroma compounds
45 (Tapanapunnitikul et al. 2007; Conde-Petit, Escher, and Nuessli 2006). When forming the
46 inclusion complexes, amylose exists as left-handed single helices with a hydrophilic outer surface
47 and a hydrophobic helical channel that accommodates the guest molecules (Immel and
48 Lichtenthaler 2000). Amylose-guest inclusion complexes have great impact on the processing,
49 preservation, digestion, nutrients/energy uptake, and health outcomes of starchy foods (Putseys,
50 Lamberts, and Delcour 2010). For example, the formation of amylose-iodine inclusion complex is
51 a simple and fast method to test the presence of starch by showing a purple-black color. The
52 formation of starch-emulsifier inclusion complexes, e.g., amylose-glycerol monostearate, is
53 thought to be responsible for the retardation of starch retrogradation and the antistaling effects of
54 such emulsifiers in baked foods (Stauffer 1995). In addition, starch may sequester desirable aroma
55 compounds and thus suppress their impact. Starch may, on the other hand, refrain off-flavors or
56 their precursors, for instance, ferulic acid in whole grain breads (Wang et al. 2011), and improve
57 bread quality.

58 The nutritional importance of starch-guest inclusion complex involves its influence on the
59 digestibility of starch in starch-containing foods. This is largely associated with the significant
60 changes in the structure and properties of starch when complexed with guest materials, including
61 decreased solubility, increased gelatinization temperature (Eliasson, Carlson, and Larsson 1981)

62 and retarded retrogradation during storage (Krog 1971). Due to these changes, the enzymatic
63 digestion of starch could be inhibited. Extensive studies have shown that the consumption of
64 rapidly digestible starchy food causes postprandial hyperglycemia and hyperinsulinemia cycle,
65 which may lead to metabolic diseases including metabolic syndrome, obesity, type 2 diabetes, and
66 cardiovascular diseases (Byrnes, Miller, and Denyer 1995; Ludwig 2002). The retardation of starch
67 digestion by forming inclusion complexes has attracted much attention because this structure may
68 not only imply a novel physicochemical route to alter the digestive behavior of energy-dense
69 starchy foods, but also deliver bioactive compounds of interest to the lower gastrointestinal tract
70 in a targeting and/or controlled manner.

71 There are several reviews on the formation, properties, and functionalities of amylose
72 inclusion complexes (Obiro, Sinha Ray, and Emmambux 2012; Putseys, Lamberts, and Delcour
73 2010; Feng et al. 2011), but discrepancies, contradictory findings, and ambiguous statements
74 remain on the digestibility and nutrition of starch-guest inclusion complexes. In this review article,
75 we will start off with a brief introduction of the formation and structure of amylose inclusion
76 complex, which will be frequently referred to in the following discussion. Then, we will
77 comprehensively and critically review the digestibility of starch-guest inclusion complexes,
78 elaborating both evidences and unknowns, both conclusions and uncertainties, and both merits and
79 shortcomings in previous reports. Based on that, we will propose future research strategies on
80 elucidating the digestive properties of starch-guest inclusion complexes and on developing
81 functional structures of complexes that can be used in food and nutrition.

82 **2. Formation**

83 Starch represents the most complex molecular organization of biological molecules and
84 comprises of multiple levels of structure. In a widely accepted structural model of starch (Buléon

85 et al. 1998), the crystalline phases were formed by regularly arranged double helices of adjacent
86 amylopectin side chains, whereas amylose molecules reside in the amorphous regions. These
87 amylose molecules are mostly non-crystalline, and may also complex with endogenous lipids
88 especially in starches of certain botanical origin, e.g., potato starch. However, their existence, if
89 any, would be in a small amount and thus difficult to detect. During food processing, especially
90 upon starch gelatinization, starch-lipid inclusion complexes could form because amylose
91 molecules are released from the gelatinized starch granules and the increased molecular mobility
92 facilitates their interaction with lipids or other food components. During the cooling of starch-
93 containing foods, starch inclusion complexation competes with starch retrogradation. If guest
94 molecules are available in the proximity of amylose or amylopectin, inclusion complexes can be
95 formed and impede the progression of retrogradation. When starch is consumed and digested
96 through the digestive tract, linear segments of the polysaccharide may interact with other food
97 components, e.g., lipids, and form inclusion complexes (Larsson and Mieziš 1979). Described
98 above are cases where starch-guest inclusion complexes can be formed in a relatively small scale.
99 Some of these cases are hypothesized but difficult to test, while some others may have a greater
100 impact on food quality and digestion.

101 In studies on the structural details and physicochemical properties of starch-guest inclusion
102 complexes, researchers prepare the inclusion complexes using well-defined raw materials, i.e.,
103 starch/amylose and guests of interest. Reported methods to produce starch-guest inclusion
104 complexes can be categorized into four groups, namely dimethyl sulfoxide (DMSO) method, alkali
105 method, high temperature-water method, and pre-formed “empty” helix method (Kong and Ziegler
106 2014b) (Figure 2). The first three methods require that starch is “destructured” and amylose is
107 converted to random coils before guest compounds are introduced. The coil to helix transition is

108 thus induced by changing solvent quality, including composition and temperature, such that the
109 hydrophobic interaction between amylose helical interior and guest compounds is favored over the
110 dissolution of the guest in the solvent. In these three methods, starch/amylose can be “destructured”
111 and dissolved into random coils by three solvent systems, i.e, DMSO aqueous solution (with 70%
112 or more DMSO), alkaline solution (for instance, 0.1 M KCl), and superheated water (usually over
113 ~140 °C), respectively. These routes involve high temperature processing, where the guest
114 molecules are necessarily exposed to the same temperatures (over 90 °C in most cases). This may
115 potentially affect the stability of guest compounds, especially those heat-labile ones. The latest
116 strategy in the pre-formed “empty” helix method is to first prepare “empty” amylose helices and
117 then “insert” guest molecules into the helices at temperatures lower than that of “destructuring”
118 the amylose helices (Kong and Ziegler 2014b). This method is simple, scalable and appropriate
119 for heat-labile and expensive compounds, and thus has a great potential for further development.

120 In the literature, there are other methods reported, e.g., single crystal growth method
121 (Nishiyama et al. 2010), steam jet cooking method (Fanta et al. 2010), and enzymatic synthesis
122 method (Kaneko, Beppu, and Kadokawa 2008). Yet, inclusion complexes made by these methods
123 were not used in digestion studies.

124 **3. Microstructure**

125 When complexed with guest molecules, amylose forms a left-handed single helical structure
126 wrapping the guest molecule. The helices may exist in an amorphous phase, as suggested by
127 several *in vitro* (Biliaderis and Galloway 1989) and *in vivo* (Holm et al. 1983) formation studies.
128 The helices, in most other cases, tend to stack together in a crystalline structure known as the V-
129 type structure. This crystalline structure is usually identified by the X-ray diffraction (XRD)
130 technique. Depending on the size and shape of the guest molecules, a series of V subtypes could

131 be formed (Figure 3). With small guest molecules, e.g., iodine (Bluhm and Zugenmaier 1981) and
132 fatty acids (Godet et al. 1993), amylose inclusion complex can be in the forms of V_6 -hydrate (V_{6h})
133 or V_6 -anhydrous (V_{6a}). The V_{6h} form has a hexagonal unit cell with parameters $a = b = 13.65 \text{ \AA}$,
134 and $c = 8.05 \text{ \AA}$ (Brisson, Chanzy, and Winter 1991). Upon losing water from within the unit cell,
135 the V_{6h} form can shrink to V_{6a} , which has an orthorhombic unit cell structure with dimensions of
136 approximately $a = 13.0 \text{ \AA}$, $b = 23.0 \text{ \AA}$, and $c = 8.05 \text{ \AA}$ (Rundle 1947; Winter and Sarko 1974;
137 Zobel, French, and Hinkle 1967). When molecules bulkier than linear alcohols are included into
138 the amylose helical cavity, crystals of larger dimensions can be obtained, e.g., the amylose-*tert*-
139 butanol inclusion complex ($V_{tert\text{-butanol}}$, or V_7) containing 7-fold single helices with larger cavities
140 to accommodate the guest molecules (Zaslow 1963). A number of guest molecules, e.g., 2-
141 propanol (Nishiyama et al. 2010), n-butyric acid (Takeo, Tokumura, and Kuge 1973),
142 cyclohexanol (Yamashita, Ryugo, and Monobe 1973), menthone (Tapanapunnitikul et al. 2007;
143 Nuessli et al. 2003), thymol (Tapanapunnitikul et al. 2007), fenchone (Nuessli et al. 2003), geraniol
144 (Nuessli et al. 2003), salicylic acid (Oguchi et al. 1998), and 2-naphthol (Uchino et al. 2001), can
145 form V_7 type inclusion complexes with amylose. A few molecules, e.g., 1-naphthol (Yamashita,
146 Ryugo, and Monobe 1973; Cardoso et al. 2007), quinolone (Yamashita, Ryugo, and Monobe 1973),
147 and salicylic acid and its analogues (Uchino et al. 2002), are able to induce amylose inclusion
148 complexes with 8-fold helices (V_8). Described above is a classification of amylose inclusion
149 complexes depending on the number of glucopyranosyl units per turn in the helices. Under each
150 category, different guest molecules may induce small variations in helical packing and distortion
151 of the crystalline region (Nuessli et al. 2003; Helbert and Chanzy 1994; Sarko and Zugenmaier
152 1980). Recently, linear fatty acids were shown to form different V_6 types and even V_7 type

153 inclusion complexes with amylose, depending on crystallization conditions during crystal growth
154 (Le et al. 2016; Le et al. 2018).

155 **4. *In vitro* digestibility**

156 It has long been noticed of the significance of naturally occurring lipid components in starch
157 granules in altering starch properties, including its enzymatic digestibility. Initially, it was
158 suggested that the influence could be partially due to the formation of amylose-lipid inclusion
159 complexes, and partially because lipids could form a membrane at the periphery of the granules
160 that limits enzymatic digestion of starch (Hanna and Lelievre 1975). By adding surfactants to
161 disrupt the lipid membrane possibly formed (Stoeckenius and Engelman 1969), the retardation in
162 α - and β -amylase digestion of amylose was confirmed to be attributed to the amylose inclusion
163 complexes formed with native lipids, and/or sodium lauryl sulfate and lysophosphatidylcholine
164 (LPC) (Hanna and Lelievre 1975). Additionally, amylose-surfactant inclusion complexes were
165 shown to significantly impede enzymatic digestion of amylose by β -amylase, evidenced from
166 decreased maltose production (Kim and Robinson 1979). The complexes were found to be partially
167 degraded and the degree of digestion varied with different surfactants.

168 Given profound influence of amylose inclusion complexes on starch digestion,
169 starch/amylose-guest inclusion complexes were prepared and subjected to enzymatic digestion
170 studies. Comparing with free amylose, Holm et al. found that amylose-oleic acid and amylose-
171 LPC inclusion complexes showed a reduced susceptibility to hog pancreatic α -amylase (Figure 4A)
172 (Holm et al. 1983). Both free and complexed amylose, however, could be totally degraded in the
173 presence of excess α -amylase and given sufficient time. In other studies, starches of various
174 botanical origins, e.g., wheat starch (Figure 4B & 4C) (Hanna and Lelievre 1975; Wang et al. 2016;
175 Ahmadi-Abhari, Woortman, Hamer, et al. 2013; Ahmadi-Abhari, Woortman, Oudhuis, et al. 2013),

176 potato starch (Kawai et al. 2012), high amylose maize starch (Foucault et al. 2016), sago starch
177 (Cui and Oates 1999), etc., were used to complex with lipids, including free fatty acids,
178 monoglycerides, and LPC. The effect of chain length of fatty acids and monoglycerides on the
179 structure and digestion of starch inclusion complex will be discussed later in this review. The
180 starch-LPC inclusion complex has been investigated by a number of researchers, e.g., wheat
181 starch-LPC inclusion complex (Ahmadi-Abhari, Woortman, Hamer, et al. 2013; Ahmadi-Abhari,
182 Woortman, Oudhuis, et al. 2013). The wheat starch-LPC inclusion complex was formed by heating
183 at 95 °C using a rapid visco analyzer and then subjected to α -amylase digestion at 37 °C. Higher
184 LPC concentration resulted in a lower enzymatic susceptibility of wheat starch. Cui and Oates
185 used sago starch to complex with LPC and other lipids (Cui and Oates 1999). Since a lower
186 complexation temperature at 60 °C was used, the ability to form inclusion complex was limited,
187 as evidenced from relatively larger gelatinization endotherms than inclusion complex endotherms
188 on differential scanning calorimetry (DSC) thermograms. Yet, a reduced enzyme susceptibility of
189 starch in the presence of lipids was found, which was still encouraging. Limited inclusion
190 complexation was also seen in a few other reports (Kawai et al. 2012; Wang et al. 2016), where
191 no significant difference in starch digestibility compared to control samples was observed. These
192 studies used temperatures that were not sufficiently high to “destructure” starch granules and
193 release starch molecules for inclusion complexation with guest molecules. While most studies used
194 native starch and isolated amylose with unknown degree of polymerization (DP) and
195 polydispersity, the effect of amylose chain length (DP) on inclusion complex formation and
196 subsequent enzymatic digestion was investigated (Gelders et al. 2005). An increase in amylose DP
197 from 60 to 950 could slow down enzymatic digestion of the inclusion complex formed, possibly
198 due to greater lamella thickness formed by amylose with higher DP.

199 In several other studies, researchers prepared starch/amylose-guest inclusion complexes for
200 purposes other than studying their enzymatic digestion, but their findings may also be useful for
201 understanding the digestion behavior. For instance, *in vitro* digestion was employed to study
202 crystal structures of the V-type amylose inclusion complexes by enzymatically hydrolyzing the
203 amorphous regions (Figure 4E) (Seneviratne and Biliaderis 1991; Godet et al. 1996). These
204 methods were aligned with the idea that enzymatic hydrolysis would initially take place in the
205 amorphous regions (Jane and Robyt 1984), including the amorphous growth rings and amorphous
206 lamellae.

207 In addition, a few other researchers focused on the release properties of guest compounds
208 triggered and controlled by enzymatic hydrolysis of starch/amylose-guest inclusion complexes.
209 Lesmes et al. produced starch-stearic acid inclusion complex and tested it for controlled release
210 upon pancreatic amylase (α -amylase) treatment at 37 °C for 24 h (Lesmes, Barcheath, and
211 Shimoni 2008). The stearic acid released from high amylose corn starch matrix was significantly
212 lesser than that from normal corn starch, suggesting high amylose starch more efficiently formed
213 inclusion complex and impeded starch degradation to release the guest fatty acid. The same group
214 of researchers further investigated the effect of fatty acid unsaturation on the release properties
215 and found that the extent of guest release was inversely related to the degree of unsaturation in
216 fatty acids (Lesmes et al. 2009). The existence of double bonds in *cis* conformation could distort
217 amylose helices and result in less closely packed crystalline phase. Therefore, amylose inclusion
218 complex with unsaturated fatty acids could be digested faster and to a greater extent than that with
219 saturated fatty acids. For the same purpose of controlling guest release, polyunsaturated fatty acids
220 were encapsulated by spring dextrin, which can be considered as shorter amylose chains produced
221 from starch (Xu et al. 2013). The release of complexed α -linolenic acid and linoleic acid was

222 triggered by α -amylase, but the digestion rates were not compared with that of uncomplexed spring
223 dextrin. In these studies, although there could be a lack of a comprehensive investigation of release
224 kinetics, the starch-guest inclusion complex showed to be a promising delivery tool due to its
225 resistance to enzymatic hydrolysis.

226 **5. *In vivo* digestibility**

227 As introduced earlier, amylose-guest inclusion complexation could take place *in situ* in the
228 digestive tract, where linear glucan fragments from partially digested amylose and amylopectin
229 could complex with free fatty acids released from lipid digestion (Larsson and Mieziš 1979).
230 However, this phenomenon was difficult to directly characterize and monitor, therefore, few
231 studies paid attention to the formation of amylose inclusion complex during digestion.

232 So far, there have not been extensive studies on the *in vivo* digestibility of starch-guest
233 inclusion complex. Back in the 1980s, Björck et al. (1984) found drum-dried wheat flour, which
234 contained much higher amount of amylose-lipid inclusion complex than untreated and boiled
235 wheat flour, was not only less susceptible to salivary amylase hydrolysis, but also gave a lower
236 plasma glucose response and delayed insulin response in rats (Figure 5A). However, Holm et al.
237 (1983) did not notice significant difference in plasma glucose and insulin response between rats
238 fed with amylose and amylose-lipid inclusion complex (Figure 5B). This could be explained by
239 the low substrate concentration used in the latter experiment, which will also be discussed later in
240 this review.

241 Relatively recent studies on starch inclusion complex digestion extended to larger animals and
242 human subjects. Murray et al. (1998) compared the digestibility of starch-lipid inclusion complex
243 with that of maltodextrin and resistant starch in ileal-cannulated dogs. The extent of digestion and
244 fecal bulking capacity of starch inclusion complex were both intermediate between the control and

245 resistant starch, marking it as a slowly digestible starch. Hasjim et al. (2010) investigated the effect
246 of high amylose maize starch-palmitic acid inclusion complex on the plasma glucose and insulin
247 responses in human subjects. Postprandial plasma-glucose and insulin responses (measured as both
248 peak maxima and average areas under curve) in subjects given bread formulated with starch
249 inclusion complex were only about half of those responses in subjects having consumed control
250 white bread (Figure 5C). Similar experiments were carried out by (Lau, Zhou, and Henry 2016),
251 where bread samples containing starch inclusion complexes with different types of fats/oils were
252 consumed by human subjects, yet mixed results were obtained. There was a significant glucose
253 response between test bread and control, while insulin response was not altered by adding starch-
254 lipid inclusion complexes (Figure 5 D). Although studies on *in vivo* digestion of starch-guest
255 inclusion complex are few, the available results so far are inspiring.

256 **6. Structure-digestibility relationship**

257 To summarize the previous *in vitro* and *in vivo* studies listed above, many found that inclusion
258 complexation with guest compounds, especially lipids, could inhibit the enzymatic digestion of
259 starch or amylose, however, discrepancies did exist. The causes of experimental discrepancies can
260 be multifaceted.

261 First, the formation of amylose/starch-guest inclusion complex needs to be well characterized
262 and interpreted. Starches of various botanical origins and different types of guest compounds
263 (mostly lipids) were investigated in combinations. The starches vary in their ratios of amylose to
264 amylopectin components and length of polymer chains (DP). Lipids, including free fatty acids,
265 monoglycerides, and LPC, differ in their structures, e.g., number of fatty acid chains and fatty acid
266 chain length. Given these variations, it appears that the structure of amylose-guest inclusion
267 complex has not been paid sufficient attention to in some of the studies on their enzymatic

268 digestion. Owing to the advances in characterization technologies, the existence of amylose-guest
269 inclusion complex can be evidenced by a number of complementary techniques, i.e., DSC
270 (Karkalas et al. 1995; Biliaderis, Page, and Maurice 1986), XRD (Godet et al. 1993; Le Bail et al.
271 1999), nuclear magnetic resonance (NMR) (Lebail et al. 2000), Fourier transform infrared
272 spectroscopy (FTIR) (Lay Ma, Floros, and Ziegler 2011; Kong and Ziegler 2014a), and to some
273 extent small-angle X-ray scattering (SAXS) (Putseys et al. 2011), transmission electron
274 microscopy (TEM) (Godet et al. 1996), Raman spectroscopy (Carlson et al. 1979), and electron
275 paramagnetic resonance (EPR) spectroscopy (Kong et al. 2018). The successful formation of
276 amylose/starch inclusion complex usually requires evidence from more than one techniques. For
277 instance, DSC, XRD, and FTIR were used in combination to provide thermal, structural and
278 compositional evidences of inclusion complex formation (Lay Ma, Floros, and Ziegler 2011; Kong,
279 Bhosale, and Ziegler 2018). Without such prior knowledge on the structure of inclusion complex
280 formed, the retardation effect of inclusion complex on starch digestion rate could not be properly
281 justified.

282 As discussed earlier, limited inclusion complexation has been seen in a number of studies on
283 the enzymatic digestion of starch inclusion complex, and was mainly attributed to ineffective
284 formation methods. For instance, by reacting lipids with sago starch at around gelatinization
285 temperature, i.e., 60 °C, the DSC thermograms actually displayed only a small portion of inclusion
286 complex formed compared with much larger gelatinization endotherms of ungelatinized starch
287 portions (Cui and Oates 1999). Even though, reduced enzyme susceptibility was noticed and the
288 extent of enzyme susceptibility was found to be reversely associated with complex-forming ability
289 of lipids. In several other studies where only little or negligible amount of inclusion complex was

290 formed, it was not surprising that enzymatic digestibility was not significantly altered (Kawai et
291 al. 2012; Wang et al. 2016; Kim et al. 2017).

292 As another example, despite profound reductions in postprandial plasma-glucose and insulin
293 responses found by Hasjim et al. (2010), it was debatable how effective the starch-palmitic acid
294 inclusion complex was in this study. Given the absence of starch-palmitic acid endotherm during
295 the first scan on DSC thermogram, the inclusion complex preparation method might not be
296 effective. A greater amount of starch-palmitic acid inclusion complex was actually formed during
297 rescanning of the samples, which is a process similar to the high temperature method for starch
298 inclusion complex formation during cooling. Guest compounds could induce inclusion
299 complexation with amylose/starch molecules that were converted to loose helical or random coil
300 conformations above 140 – 160 °C (Creek, Ziegler, and Runt 2006), upon first heating. Therefore,
301 the difference in plasma-glucose and insulin responses could simply be a result of using high
302 amylose starch to replace wheat starch component in flour, since high amylose starch itself could
303 result in a significantly lower glucose and insulin responses than amylopectin (Behall, Scholfield,
304 and Canary 1988).

305 Secondly, the resistance to enzymatic digestion is dependent on the structural organization of
306 helical starch inclusion complex, which can be represented by crystallinity and thermal stability.
307 The structure and stability of the amylose inclusion complex vary with guest compounds and
308 formation methods. Guest compounds of different sizes and shapes may induce different
309 crystalline structures and thermal stability. Lipids are the most used guest compounds in studying
310 the digestion of starch-guest inclusion complex. The fatty acid chain length, degree of unsaturation,
311 and identity of the polar head of the lipids all impact the inclusion complex properties (Putseys,
312 Lamberts, and Delcour 2010). Lipids with longer hydrocarbon chains allow for more hydrophobic

313 interactions with the hydrophobic helix cavity of amylose, and thus result in higher thermal
314 stability (Tufvesson, Wahlgren, and Eliasson 2003). The presence of carbon-carbon double bond,
315 especially in *cis* configuration, may interfere with amylose wrapping around the alkyl chain and
316 result in distorted helical element or partial complexation with lower stability (Lalush et al. 2004).
317 Functional groups at the end of lipid hydrocarbon chains have a great impact on the localization
318 of guest lipids and the crystallization of adjacent helices (Kong et al. 2018; Kong, Perez-Santos,
319 and Ziegler 2018). A larger head group has been proposed to impede crystallization and crystal
320 growth, and may result in lower crystallinity and lower thermal stability (Lay Ma, Floros, and
321 Ziegler 2011). Hence, the intermolecular association and crystalline structure affect thermal
322 stability of the inclusion complex, and may also determine the stability of inclusion complex
323 during enzymatic digestion.

324 Czuchajowska, Sievert, and Pomeranz (1991) found that amylose-lipid inclusion complex
325 formation was favored over amylose retrogradation when effective complexing lipids were used,
326 i.e., LPC and sodium stearyl lactylate (SSL). But hydroxylated lecithin (OHL) was not as
327 effective as LPC and SSL in inclusion complexation as well as inhibiting amylose retrogradation.
328 From the structural point of view, the bulky head groups of OHL including hydroxylated fatty acid,
329 choline and phosphate groups on the glycerol created too much steric hindrance for inserting the
330 hydrocarbon chains into amylose helices. Both LPC and SSL have one less fatty acid chain than
331 OHL on the glycerol molecule and thus were able to form inclusion complex more efficiently.

332 Crowe, Seligman, and Copeland (2000) found that enzymatic hydrolysis of amylose was
333 retarded by 35% ($P < 0.05$) after complexation with free fatty acids, including lauric, myristic,
334 palmitic, and oleic acids, and LPC, compared with uncomplexed amylose after 2 h digestion at
335 37 °C. Yet the fatty acids had no effect on the digestion of amylopectin. However, the initial rates

336 of hydrolysis (within 30 min) were almost identical for the complexed and uncomplexed amylose,
337 whereas Holm et al. found that the complexed amylose was hydrolyzed at a significantly lower
338 rate than the uncomplexed sample during the whole experiment period (Holm et al. 1983). It
339 implies probable structural differences between the inclusion complex samples used in the two
340 studies; the inclusion complex formed under simulated physiological conditions (37 °C) could
341 result in more amorphous region (less crystallinity) than the alkali neutralization method, and thus
342 showed similar susceptibility to enzymes as uncomplexed amylose at initial hydrolysis.

343 As discussed earlier, the variations in structural organization can be induced by lipid guests
344 of varying chain length (Biliaderis, Page, and Maurice 1986), which include an alternative
345 structural difference, i.e., the so-called Type I (Form I) and Type II (Form II) amylose inclusion
346 complexes (Biliaderis and Galloway 1989). Seneviratne and Biliaderis (1991) investigated the α -
347 amylase hydrolysis of amylose-glycerol monostearate inclusion complexes with different degree
348 of structural order, including the type I, type IIa and type IIb. It was found that the rate and the
349 extent of enzymatic degradation were negatively associated with the crystallinity and thermal
350 stability of the inclusion complexes; inclusion complexes with greater crystallinity and higher
351 melting temperature were more resistant to enzymatic degradation. During enzymatic hydrolysis,
352 the crystallinity of enzyme-resistant fractions was not significantly altered, whereas their thermal
353 stability was affected, showing decreasing dissociation temperature and enthalpy. It could suggest
354 that the enzyme first attacked the uncomplexed amylose chains, followed by non-crystalline
355 amylose inclusion complexes (type I). Therefore, similar to mild acid hydrolysis (Biais et al. 2006),
356 enzymatic hydrolysis could initially increase the order and stability of amylose inclusion complex
357 by removing less ordered regions. The α -amylase did hydrolyze highly ordered domains of
358 amylose inclusion complex (type II) because the sample was fully digested at high enzyme levels.

359 Last but not least, digestion kinetics depends on the experimental conditions, including types
360 of enzyme, concentrations, temperature and time, and animal models in *in vivo* studies. For
361 example, both uncomplexed amylose and amylose-lysolecithin inclusion complex were totally
362 hydrolyzed at quite similar rates within 2 h in the gastrointestinal tract of rat (Holm et al. 1983).
363 In contrast, Murray et al. (1998) reported a significantly lower digestibility of starch inclusion
364 complex and resistant starch than uncomplexed starch in ileal-cannulated dogs. The discrepancy
365 was probably due to differences in sample preparation methods, weight ratios of sample intake,
366 and of course physiological systems in different animals. In the experiment carried out by Murray
367 et al., the average carbohydrate intake in the forms of either uncomplexed or complexed starch
368 was roughly 1% (w/w) of the weight of the dogs, while the rats in Holm et al.'s report were fed
369 with only 0.01% (w/w) of the weight of the rats. This could result in different substrate
370 concentration in the gastrointestinal tract available for enzymatic hydrolysis. Indeed, Holm et al.
371 (1983) found that the hydrolysis of amylose inclusion complex was significantly accelerated by
372 adding excess enzyme.

373 **7. Resistant starch**

374 There are occasions where amylose inclusion complexes were regarded as a type of resistant
375 starch (Hasjim et al. 2010), even in relatively recent reviews (Panyoo and Emmambux 2017),
376 however, most of other researchers did not agree. Although the starch-lipid inclusion complexes
377 can decrease the susceptibility of starch to amylolysis, they have not been regarded as a fraction
378 of resistant starch (Sievert and Pomeranz 1989,1990; Siljeström, Eliasson, and Björck 1989).
379 Indeed, there may be a competition between amylose-lipid inclusion complexation and amylose
380 retrogradation into resistant starch fraction (Sarko and Wu 1978).

381 By definition, resistant starch is starch or a fraction of starch that is not hydrolyzed by enzymes
382 in the digestive tract, but it is fermented by the gut microflora in the colon (Englyst and Macfarlane
383 1986; Wyatt and Horn 1988). Resistant starch is generally considered as a type of dietary fiber.
384 Experimentally, it refers to the remaining portion of starch after exhaustive enzymatic hydrolysis.
385 The methods to determine resistant starch contents have been evolving during the last few decades.
386 The type of enzymes used, enzyme concentration, and incubation pH, time and temperature are
387 among the modifications in various reported methods. In a widely used method developed by
388 Megazyme and accepted as AOAC Official Method 2002.02 (AOAC International 2012) and
389 AACC Method 32-40.01 (AACC International 1999), α -amylase and amyloglucosidase were used
390 to hydrolyze samples for 15 h at 37 °C. The residual starch portion is the resistant starch to be
391 determined.

392 The majority of the studies reviewed here did not adopt the resistant starch assay method in
393 their *in vitro* enzymatic digestion analyses of starch-guest inclusion complex. Therefore, it created
394 uncertainty whether at least a portion of the starch inclusion complex can be regarded as resistant
395 starch. However, an incubation time of 15 h suffices for the adequate time needed for complete
396 digestion as suggested by (Holm et al. 1983). This incubation time of 15 h is definitely longer than
397 the transition time for starch inclusion complex to reach the large intestine, which is usually
398 considered to be around 120 min (Holm et al. 1983). Given the dynamic nature of digestion and
399 the differences in *in vitro* and *in vivo* conditions, it cannot be excluded that some starch inclusion
400 complex may be determined as non-resistant starch by assay, but may enter the large intestine and
401 function as resistant starch.

402 **8. Conclusions**

403 In conclusion, it has been observed in many studies that amylose/starch-guest inclusion
404 complex formation can inhibit the enzymatic digestion of starch or amylose. It is important to
405 understand that the extent of the inhibition effect is determined by the structure of the inclusion
406 complex. In order to obtain a better understanding of the enzymatic digestion behavior of starch-
407 guest inclusion complex and develop functional food ingredients based on starch-guest inclusion
408 complex, we provide the following suggestions for future research on this topic. First, the
409 preparation procedure needs to be well-defined and the starch/amylose-guest inclusion complexes
410 formed should be well-characterized, so that their structure-property relationship can be well-
411 established. This practice will also be beneficial to find the type of starch-lipid inclusion complex
412 that could have the most profound effect on the inhibition of starch digestion. Secondly, official
413 methods and simulated physiological conditions can be used to determine the RS proportion of
414 starch inclusion complex in assays and in reality. Finally, functional or bioactive guest compounds
415 can be incorporated into starch inclusion complex as a way to study the release properties of the
416 guest compounds along with the investigation of their enzymatic digestion.

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419 **9. References**

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